

Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet	1	of	1
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**Complete if Known**

Application Number	09/668,585
Filing Date	September 22, 2000
First Named Inventor	Carl M. Ellison
Art Unit	2132
Examiner Name	GURSHMAN, Grigory
Attorney Docket Number	42390P8098X

## U.S. PATENT DOCUMENTS

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**FOREIGN PATENT DOCUMENTS**

[illegible]

**Examiner  
Signature**

Date	
Considered	

1/14/2005

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

<sup>1</sup>Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zatman (wlr) 08/11/2003.

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Sheet 1 of 2

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Application Number 09/668,585

Filing Date September 22, 2000

First Named Inventor Ellison, Carl

Group Art Unit Unknown

Examiner Name Unknown

Attorney Docket No: 42P08098X

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T
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## OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
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GB	✓	CRAWFORD, JOHN, "Architecture of the Intel 80386", <u>Proceedings of the IEEE International Conference on Computer Design: VLSI in Computers and Processors (ICCD '86)</u> , (October 6, 1986), 155-160	
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Substitute Disclosure Statement Form (PTO-1449)

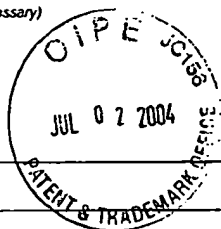
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Application Number	09/668,585
Filing Date	September 22, 2000
First Named Inventor	Ellison, Carl
Group Art Unit	Unknown
Examiner Name	Unknown

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Attorney Docket No: 42P08098X

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[Signature]	[Initials]	SCHNEIER, BRUCE, "Applied Cryptography: Protocols, Algorithm, and Source Code in C", Wiley, John & Sons, Inc., XP002939871; ISBN 0471117099, (Oct. 1995), 47-52	
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Application Number		09/668,585			
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First Named Inventor		Carl M. Ellison			
Art Unit		2132			
Examiner Name		GURSHMAN, Grigory			
Attorney Docket Number		42390P8098X			
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code² (if known)			
G	1	US-6,226,749 B1	05-01-2001	Carloganu et al.	
		US-			
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.†	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code¹	Number - Kind Code² (if known)			

Examiner Signature		Date Considered	12/03/04
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¹Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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# ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18  
Stylesheet Version v18.0

Title of  
Invention

Managing a Secure Environment Using a Hierarchical  
Executive Architecture in Isolated Execution Mode

Application Number: 09/668585

Confirmation Number: 2342

First Named Applicant: Carl Ellison

Attorney Docket Number: 42P08098X

Art Unit:

~~Unknown~~ 2132

Examiner:

~~Unknown Unknown~~ 6 Gurshteyn

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or 4419724 or 5237616 or 5287363 or 5560013  
or 5604805 or 5633929 or 5668971 or 5684948  
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Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
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*Gurshteyn* 1/16/2005

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33	6678825	2004-01-13	Ellison, Carl M., et al.
34	6684326	2004-01-27	Cromer, Daryl C., et al.

Signature

*Gurshman G.*

Examiner Name	Date
GURSHMAN G.	7/18/2005